



NHPUC 17MAY18AM11:30

Electric Load Aggregation Application Form

This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Commission of any changes to information in a previously-filed electric aggregator application form. *This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.*

Indicate whether this application is for an initial registration or for a renewal. Initial <input checked="" type="checkbox"/> Renewal <input type="checkbox"/>		
Applicant's General Information		
Puc 2006.02(a)	Legal Name ESG Energy LLC	
	Trade Name (d/b/a) (if applicable)	
Puc 2006.02(b)	Business Mailing Address 549 West 123 rd St, Apt 8G New York, NY 10027	
	Telephone Number 908-285-6076	
	E-Mail Address DJohnson@ESGenergy.com	
	Website Address (if applicable)	
	Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant, if an individual, or of the applicant's principal(s), ¹ if the applicant is anything other than an individual. Use additional sheets if your response exceeds the space provided on the form.	
Puc 2006.02(c)	Name David L. Johnson	
	Title Chief Executive	
	Business Mailing Address 549 West 123 rd St, Apt 8G New York, NY 10027	
	Telephone Number 908-285-6076	
	E-Mail Address DJohnson@ESGenergy.com	
	Name Margaret M. Johnson	
	Title Chief Financial Officer	
	Business Mailing Address 549 West 123 rd St, Apt 8G New York, NY 10027	
	Telephone Number 908-285-6076	
	Email Address DJohnson@ESGenergy.com	
	Name	
	Title	
	Business Mailing Address	
Telephone Number	- -	
E-Mail Address		

¹ "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.



Customer Service Contact		
Puc 2006.02(d)	Name	David L. Johnson
	Title	Chief Executive
	Telephone Number	908-285-6076
	Toll-Free Telephone Number (if available)	- -
	E-Mail Address	DJohnson@esgenergy.com

Customer Complaints Contact		
Puc 2006.02(e)(1)	Name	David L. Johnson
	Title	Chief Executive
	Business Mailing Address	549 West 123 rd St, Apt 8G New York, NY 10027
	Telephone Number	908-285-6076
	E-Mail Address	DJohnson@ESGenergy.com

Regulatory Compliance Matters Contact		
Puc 2006.02(e)(2)	Name	David L. Johnson
	Title	Chief Executive
	Business Mailing Address	549 West 123 rd St, Apt 8G New York, NY 10027
	Telephone Number	908-285-6076
	E-Mail Address	DJohnson@ESGenergy.com

Commission Assessment Payments Contact		
Puc 2006.02(e)(3)	Name	David L. Johnson
	Title	Chief Executive
	Business Mailing Address	549 Westy 123 rd St, Apt 8G New York, NY 10027
	Telephone Number	908-285-6076
	E-Mail Address	DJohnson@ESGenergy.com

Separate Attachments: Business Authority and Trade Name	
Puc 2006.02(f)	Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or (2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.
Puc 2006.02(g)	Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or (2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.



Statements Regarding Applicant and its Principals		
Please respond to each of the following questions with either "Yes" or "No."		
Puc 2006.02(h)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	No
Puc 2006.02(h)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	No
If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.		

Other States		
Puc 2006.02(i)	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.	NY, NJ, CT

Statement Regarding Supplier Representation		
Puc 2006.02(j)	Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest?	NO
If the response to the preceding question is "Yes," please list the supplier(s) represented. Use additional sheets if needed.		

Expected Marketing Start Date		
Puc 2006.02(k)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	9/1/18 Date

Attestation and Signature		
Puc 2006.02(l) and (m)	<p>BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.</p> <p></p> <p>Signature of the applicant or its authorized representative</p> <p>Name: DAVID L. JOHNSON</p> <p>Title: CHIEF EXECUTIVE</p>	<p>5/15/18</p> <p>Date</p>

Filing Instructions		
<p>1) Mail an original and two paper copies of this form and all separate attachments to: Executive Director, NHPUC, 21 South Fruit St., Suite 10, Concord, NH 03301</p> <p>2) E-mail a PDF of this form and all separate attachments to: Executive.Director@puc.nh.gov</p>		



State of New Hampshire

Department of State



Accepted Date: 05/14/2018
Business Name: ESG ENERGY LLC
Principal Office Address: 549 West 123rd St Apt 8G, New York, NY, 10027, USA

RE: Acceptance of Business Formation

This letter is to confirm the acceptance of the following business formation:

Business ID: 794878
Tracking #: 4096920
Effective Date: 05/14/2018
Payment Transaction #: 20189980123247001

To maintain your business registration in good standing you must maintain a Registered Agent at all times.

You must also file an annual report no later than April 1st of each year. To file your annual report please go to <https://quickstart.sos.nh.gov/online/Account>.

It is incumbent upon you to keep this office informed of address or email changes to ensure that all communications from our office reaches you.

Please visit our website for helpful information regarding all your business needs. If you require assistance or should you have any questions, you may contact the Corporation Division using the information provided below.

Please reference your Business ID in your communication.

Thank you,

New Hampshire Department of State
Corporation Division

State of New York } ss:
Department of State

I hereby certify, that ESG ENERGY LLC a NEW YORK Limited Liability Company filed Articles of Organization pursuant to the Limited Liability Company Law on 09/26/2017 with an effective date of 09/26/2017, and that the Limited Liability Company is existing so far as shown by the records of the Department.



*WITNESS my hand and the official seal
of the Department of State, at the City of
Albany, this 26th day of September two
thousand and seventeen, at 12:22 PM.*

*Brendan W. Fitzgerald
Executive Deputy Secretary of State*

State of New Hampshire

Department of State

CERTIFICATE

I, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that ESG ENERGY LLC is a New York Limited Liability Company registered to transact business in New Hampshire on May 14, 2018. I further certify that all fees and documents required by the Secretary of State's office have been received and is in good standing as far as this office is concerned.

Business ID: 794878

Certificate Number: 0004097127



IN TESTIMONY WHEREOF,
I hereto set my hand and cause to be affixed
the Seal of the State of New Hampshire,
this 15th day of May A.D. 2018.

A handwritten signature in cursive script, appearing to read "William M. Gardner".

William M. Gardner
Secretary of State



State of New Hampshire
Department of State

Filed
Date Filed : 05/14/2018 03:01:00 PM
Effective Date : 05/14/2018 03:01:00 PM
Filing # : 4096920 Pages : 3
Business ID : 794878
William M. Gardner
Secretary of State
State of New Hampshire

Form FLLC-1
RSA 304-C:175

APPLICATION FOR REGISTRATION AS A
FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS of the New Hampshire Limited Liability Company laws, the undersigned hereby applies for registration to transact business in New Hampshire and for that purpose submits the following statement:

FIRST: The name of the limited liability company is:

ESG ENERGY LLC

SECOND: The name which it proposes to register and do business in New Hampshire is:

ESG ENERGY LLC

Principal Business Information:

Principal Office Address:

549 West 123rd St Apt 8G New York NY 10027
(no. & street) (city/town) (state) (zip code)

Principal Mailing Address(if different):

549 West 123rd St Apt 8G New York NY 10027
(no. & street) (city/town) (state) (zip code)

Business Phone: 908-285-6076

Business Email: DJohnson@ESGenenergy.com

Please check if you would prefer to receive the Annual Report Reminder Notice by email.

THIRD: It is formed under the laws of New York

FOURTH: The date of its formation is 09/26/2017

FIFTH: Describe the nature of the business or purposes to be conducted or promoted in New Hampshire (and if known, list the NAICS Code and Sub Code):

Other / Energy Procurement

SIXTH: The name of its registered agent in New Hampshire is:

Northwest Registered Agent LLC (711539)

The complete address of its registered office IN NEW HAMPSHIRE (agent's business address) is:

159 Main Street S100 Nashua NH 03060
(no. & street) (city/town) (state) (zip code)

The period of its duration is: Perpetual

Title: **Authorized Signer**
Signature: **David Lionel Johnson Jr**
Name of Signer: **David Lionel Johnson Jr**
Date signed: **05/14/2018**
Effective Date: **05/14/2018 03:01:00 PM**

Complete address of person signing: **549 West 123rd St Apt 8G, New York, NY, 10027, USA**

Note: The sale or offer for sale of membership interests of the limited liability company will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B). The membership interests of the limited liability company: 1) have been registered or when offered will be registered under RSA 421-B; 2) are exempted or when offered will be exempted under RSA 421-B; 3) are or will be offered in a transaction exempted from registration under RSA 421-B; 4) are not securities under RSA 421-B; OR 5) are federal covered securities under RSA 421-B. The statement above shall not by itself constitute a registration or a notice of exemption from registration of securities within the meaning of sections 448 and 461(i)(3) of the United States Internal Revenue Code and the regulation promulgated thereunder.

*Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

State of New Hampshire
Department of State

CERTIFICATE OF EXISTENCE

OF

ESG ENERGY LLC

This is to certify that **ESG ENERGY LLC** is registered in this office as a **New York Limited Liability Company** to transact business in New Hampshire on 5/14/2018 3:01:00 PM.

Business ID: **794878**



IN TESTIMONY WHEREOF,

I hereto set my hand and cause to be affixed
the Seal of the State of New Hampshire,
this 14th day of May A.D. 2018

A handwritten signature in black ink, appearing to read "William M. Gardner".

William M. Gardner
Secretary of State